SEC Form 4
------------

 $\square$ 

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	dress of Reporting	Person*	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Energy Transfer LP</u> [ ET ]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Mason Thomas P</u>					Director	10% Owner				
(Last) 8111 WESTC	(First) HESTER DRIV	(Middle) E, SUITE 600	3. Date of Earliest Transaction (Month/Day/Year) 12/04/2020	- x	Officer (give title Other (specify below) below) EVP and General Counsel					
(Street)			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Filir	ng (Check Applicable				
DALLAS	TX	75225		X	Form filed by One Rep	oorting Person				
(City)	(State)	(Zip)	—		Form filed by More the Person	an One Reporting				
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Disposed Of Code (Instr. 5)		s Acquired (A) or f (D) (Instr. 3, 4 and		5. Amount of Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Units	12/04/2020		М		81,180	Α	\$0.00	1,042,377	D	
Common Units	12/04/2020		F		45,198	D	\$6.342	997,179	D	

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3A. Deemed 8. Price of Derivative 3. Transaction 5. Number 6. Date Exercisable and 7. Title and Amount 9. Number of 10. 11. Nature Transaction of Securities Underlying Derivative Security Ownership Derivative Conversion Date Execution Date of Expiration Date (Month/Day/Year) derivative of Indirect (Month/Day/Year) Security (Instr. 3) if any (Month/Day/Year) Derivative Security (Instr. 5) or Exercise Code (Instr. Securities Form Beneficial Price of 8) Securities Beneficially Direct (D) Ownership Owned Following Reported Derivative Acquired (Instr. 3 and 4) or Indirect (I) (Instr. 4) (Instr. 4) (A) or Disposed Security of (D) (Instr. 3, 4 and 5) Transaction(s) (Instr. 4) Amount or Number Date Expiration of Shares ν (D) Exercisable (A) Title Code Date Phantom Commor (1) 12/04/2020 (1) (1) 54,120 Μ 81,180 81,180 \$0.00 D Units Units

### Explanation of Responses:

1. An award of phantom units granted under the Amended and Restated Energy Transfer LP Long-Term Incentive Plan scheduled to vest 60% on December 5, 2020 and 40% on December 5, 2022 that may vest in Partnership units, cash or other securities and is generally contingent upon the reporting person's continued employment with the Partnership or one of its affiliates on each applicable vesting date.

## Remarks:

Peggy J. Harrison, Attorney-infact for Mr. Mason 12/08/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.