SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

			1							
1. Name and Address of Reporting Person [*] <u>DAVIS RAY C</u>			2. Date of Event Requiring Statement Month/Day/Year) 07/17/2018		r Name and Ticker or Tra <u>gy Transfer Equity</u>					
(Last)	(First)	(Middle)		(Check	all applicable)			5. If Amendment, Date of Original Filed (Month/Day/Year)		
5950 SHER	RY LANE, SUI	TE 550		X	Director Officer (give title	10% Owner Other (specify		6. Individual or Joint/Group Filing (Check		
(Street)					below)	below)	City	1	cable Line)	y One Reporting Person
DALLAS	TX	75225								y More than One
(City)	(State)	(Zip)							Reporting F	
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)					nt of Securities ally Owned (Instr. 4)	3. Ownershi Form: Direc or Indirect ((Instr. 5)	it (D)	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Units					26,736,558	D				
Common Units					328,383	I	I By: ET Company, Ltd. ⁽¹⁾			Ltd. ⁽¹⁾
Common Units					51,701	I	I By: Avatar Holdings LLC ⁽²⁾			gs LLC ⁽²⁾
Common Units					1,002,494	I		By: RCD Stock Holdings, LLC ⁽³⁾		
Common Units					1,354,720	I		By: Avatar BW, Ltd. ⁽⁴⁾		
Common Units					15,987,283	I		By: Linda Davis 2008 Family Trust ⁽⁵⁾		
Common Units					121,117	I		By: Avatar Stock Holdings, LP ⁽⁶⁾		
Common Units					28,203,003	I		By: Avatar ETC Stock Holdings, LLC ⁽⁷⁾		
Common Units					3,557,757	I		By: Avatar Investments, LP ⁽⁸⁾		
Common Units					4,768,027	I		By: Ray Davis 2008 Grandchildren's Trust ⁽⁹⁾		
Common Units					4,768,027 I			By: Linda Davis 2008 Grandchildren's Trust ⁽¹⁰⁾		
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) or Exe Price		rcise	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
1			1 1	1		Amount	Dariura			

6. The reported units are owned directly by Avatar Stock Holdings, LP, a limited partnership of which Mr. Davis is the owner of the general partner.

4. The reported units are owned directly by Avatar BW, Ltd. a limited partnership, of which Mr. Davis is the owner of the general partner.

Date

Exercisable

(11)

Expiration

(11)

Title

1. The reported units are owned directly by ET Company, Ltd. The reported units represent the estimated pro rata interest of Mr. Davis in ET Company, Ltd. Mr. Davis disclaims beneficial ownership of the

5. The reported units are owned directly by the Linda Davis 2008 Family Trust for the benefit of Mr. Davis' family. Mr. Davis' spouse is trustee of the trust. Mr. Davis disclaims beneficial ownership of the

Common Units

Date

7. The reported units are owned directly by Avatar ETC Stock Holdings LLC, a limited liability company owned by Mr. Davis.

The reported units are owned directly by Avatar Holdings LLC, a limited liability company owned by Mr. Davis.
 The reported units are owned directly by RCD Stock Holdings, LLC, a limited liability company owned by Mr. Davis

8. The reported units are owned directly by Avatar Investments, LP, a limited partnership, of which Mr. Davis is the owner of the general partner.

9. The reported units are owned directly by the Ray Davis 2008 Grandchildren's Trust for the benefit of Mr. Davis' grandchildren. Mr. Davis is the trustee of the trust. Mr. Davis disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein if any.

10. The reported units are owned directly by the Linda Davis 2008 Grandchildren's Trust for the benefit of Mr. Davis' grandchildren. Mr. Davis' spouse is the trustee of the trust. Mr. Davis disclaims beneficial ownership of the reported units except to the extent of his pecuniary interest therein if any.

11. An award of phantom units granted under the Amended and Restated Energy Transfer Equity, L.P. Long-Term Incentive Plan that will vest 60% on the third anniversary and 40% on the fifth anniversary of the award generally contingent upon the reporting person's continued service on the Board of the general partner of the Partnership on each applicable vesting date.

Remarks:

Phantom Units

Explanation of Responses:

reported units except to the extent of his pecuniary interest therein.

reported units except to the extent of his pecuniary interest therein if any

<u>By: Peggy J. Harrison,</u> Attorney in fact from Mr

o

of

Numbe

Shares

2,500

Security

0.00

07/24/2018

(I) (Instr. 5)

D

Attorney-in-fact from Mr.

Davis

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.